N	CHF Errors Corrected by the STIC Systems Branch  CRF Processing Date: 2/  Changed a file from non-ASCII to ASCII  Changed a file from non-ASCII to ASCII
•••	Changed a file from non-ASCII to ASCII
	Changed the margins in cases where the sequence text was "wrapped" down to the next line.
	Edited a format error in the Current Application Data section, specifically:
	Edited the Current Application Data section with the actual current number. The number inputted by applicant was   the prior application data; or  other
	Added the mandatory heading and subheadings for "Current Application Data".
	Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an inte
	Changed the spelling of a mandatory field (the headings or subheadings), specifically:
	Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were:
	Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited:
	Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.
	Inserted colons after headings/subheadings. Headings edited included:
_	Deleted extra, invalid, headings used by an applicant, specifically:
	Deleted: non-ASCII "garbage" at the beginning/end of files; secretary initials/filename at end page numbers throughout text; other invalid text, such as
	Inserted mandatory headings, specifically:
	Corrected an obvious error in the response, specifically:
•	Edited identifiers where upper case is used but lower case is required, or vice versa.
	Corrected an error in the Number of Sequences field, specifically:
_	A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.
	Peleted ending stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (ue to a Patentin bug). Sequences corrected:
	Other:
_	<del>and the second </del>

\*Examiner: The above corrections must be communicated to the Action. DO NOT send a copy of this form.

\*Examiner: The above corrections must be communicated to the applicant in the first Office 3/1/95



OIPE

RAW SEQUENCE LISTING DATE: 02/19/2002 PATENT APPLICATION: US/09/941,947A TIME: 13:19:23

Input Set: N:\Crf3\02102002\1941947A.raw
Output Set: N:\CRF3\02192002\1941947A.raw

1 <110> APPLICANT: Brzostowicz, Patricia C.

```
Cheng, Qiong
              DiCosimo, Deana J.
      3
      4
              Koffas, Mattheos
              Miller, Edward S. Jr.
      5
              Odom, J. Martin
              Picataggio, Steve
              Rouviere, Pierre E.
      9 <120> TITLE OF INVENTION: CAROTENOID PRODUCTION FROM A SINGLE CARBON SOURCE
    10 <130> FILE REFERENCE: CL1903 US NA
C--> 11 <140> CURRENT APPLICATION NUMBER: US/09/941,947A
    12 <141> CURRENT FILING DATE: 2001-09-01
    13 <150> PRIOR APPLICATION NUMBER: 60/229,907
    14 <151> PRIOR FILING DATE: 2000-09-01
    15 <150> PRIOR APPLICATION NUMBER: 60/229,858
    16 <151> PRIOR FILING DATE: 2000-09-01
    17 <160> NUMBER OF SEO ID NOS: 60
    18 <170> SOFTWARE: Microsoft Office 97
    20 <210> SEQ ID NO: 1
    21 <211> LENGTH: 1311
    22 <212> TYPE: DNA
     23 <213> ORGANISM: Methylomonas 16a
     24 <400> SEQUENCE: 1
              gatgtggtca catggcccta tcacttaacg gctgatattc gattttgtca ttggtttttt
                                                                                     60
     25
                                                                                    120
     26
              cttaacttta acttctacac gctcatgaac aaacctaaaa aagttgcaat actgacagca
                                                                                    180
     27
              ggcggcttgg cgccttgttt gaattccgca atcggtagtt tgatcgaacg ttataccgaa
              atcqatccta qcatagaaat catttgctat cgcggcggtt ataaaggcct gttgctgggc
                                                                                    240
     28
                                                                                    300
     29
              qattettate cagtaaegge egaagtgegt aaaaaggegg gtgttetgea aegttttgge
                                                                                    360
              ggttctgtga tcggcaacag ccgcgtcaaa ttgaccaatg tcaaagactg cgtgaaacgc
     30
                                                                                    420
              ggtttggtca aagagggtga agatccgcaa aaagtcgcgg ctgatcaatt ggttaaggat
     31
                                                                                    480
              ggtgtcgata ttctgcacac catcggcggc gatgatacca atacggcagc agcggatttg
     32
                                                                                    540
     33
              qcaqcattcc tqqccaqaaa taattacgga ctgaccgtca ttqqtttacc taaaaccgtc
              gataacgacg tatttccgat caagcaatca ctaggtgctt ggactgccgc cgagcaaggc
                                                                                    600
     34
              gcgcgttatt tcatgaacgt ggtggccgaa aacaacgcca acccacgcat gctgatcgta
                                                                                    660
     35
                                                                                    720
     36
              cacqaaqtqa tgggccgtaa ctgcggctgg ctgaccgctg caaccgcgca ggaatatcgc
                                                                                    780
              aaattactgg accgtgccga gtggttgccg gaattgggtt tgactcgtga atcttatgaa
     37
     38
              gtgcacgcgg tattcgttcc ggaaatggcg atcgacctgg aagccgaagc caagcgcctg
                                                                                    840
              cgcgaagtga tggacaaagt cgattgcgtc aacatcttcg tttccgaagg tgccggcgtc
                                                                                    900
     39
              gaagetateg tegeggaaat geaggeeaaa ggeeaggaag tgeegegga tgegttegge
                                                                                    960
     40
              cacatcaaac tggatgcggt caaccctggt aaatggttcg gcgagcaatt cgcgcagatg
                                                                                   1020
     41
     42
              ataggegegg aaaaaaccct ggtacaaaaa tegggatact tegeeegtge ttetgettee
                                                                                   1080
                                                                                   1140
     43
              aacgttgacg acatgcgttt gatcaaatcg tgcgccgact tggcggtcga gtgcgcgttc
                                                                                   1200
              cgccgcgagt ctggcgtgat cggtcacgac gaagacaacg gcaacgtgtt gcgtgcgatc
```

RAW SEQUENCE LISTING DATE: 02/19/2002 PATENT APPLICATION: US/09/941,947A TIME: 13:19:23

Input Set : N:\Crf3\02102002\I941947A.raw
Output Set: N:\CRF3\02192002\I941947A.raw

45 46 48 <210> 49 <211> 50 <212>	atg SEQ LEN	ttgaq ID 1 GTH:	gcg a NO: 2	aato												aatagc	1260 1311
51 <213>	ORG	ORGANISM: Methylomonas 16a															
52 <400>	SEQ	JENCI	<b>Ξ:</b> 2														
53	Asp	Val	Val	Thr	${\tt Trp}$	Pro	Tyr	His	Leu	Thr	Ala	Asp	Ile	Arg	Phe	Cys	
54	1				5					10					15		
55	His	${\tt Trp}$	Phe	Phe	Leu	Asn	Phe	Asn	Phe	Tyr	Thr	Leu	Met	Asn	Lys	Pro	
56				20					25					30			
57	Lys	Lys	Val	Ala	Ile	Leu	Thr		Gly	Gly	Leu	Ala		Cys	Leu	Asn	
58			35					40					45				
59	Ser	Ala	Ile	Gly	Ser	Leu		Glu	Arg	Tyr	Thr	_	Ile	Asp	Pro	Ser	
60		50					55					60					
61		Glu	Ile	Ile	Cys		Arg	Gly	Gly	Tyr		Gly	Leu	Leu	Leu		
62	65					70	_				75		_			80	
63	Asp	Ser	Tyr	Pro		Thr	Ala	Glu	Val		Lys	Lys	Ala	Gly		Leu	
64	_		_	_	85					90	_	_		_	95	_,	
65	Gln	Arg	Phe		GŢĀ	Ser	Val	Ile		Asn	Ser	Arg	Val		Leu	Thr	
66			_	100	_		_	_	105	_		_		110	~ 1	_	
67	Asn	Val	Lys	Asp	Cys	Val	Lys		GLY	Leu	vaı	rys		GLY	GIU	Asp	
68	_		115				_	120	<b>-</b>	1	<b>~</b>		125	** - 7		<b>71</b> -	
69	Pro		Lys	vaı	Ата	Ата		GIN	Leu	vaı	гуѕ		СТА	vaı	Asp	116	
70	<b>-</b>	130	en1	<b>-</b> 1-	<b>a</b> 1	<b>01</b>	135	3	m	3	m h	140	×1 -	x 1 -	3 00	T 0.11	
71		HIS	Thr	тте	GTA		Asp	Asp	Tur	ASII	155	Ala	Ald	Ala	ASP	160	,
72 73	145	71.	Dho	T 011	7.1.	150	N an	N an	Птт	C111		mb.~	Wa I	т10	C1 w		
73 74	Ald	Ата	Phe	ьеи	165	ALG	ASII	ASII	тйт	170	ьeu	1111	Val	TTE	175	пеп	
7 <del>4</del> 75	Dro	T 77.0	Thr	1727		7 an	7 cn	17 a 7	Dho		Tla	Larg	Gln	Sor		Glw	
76	FIU	цуз	1111	180	vah	VPII	дор	Val	185	110	110	цуз	OIII	190	Бец	OLY	
77	Δla	Trn	Thr		Ala	Glu	Gln	Glv		Ara	Tvr	Phe	Met		Va l	Val	
78	1114		195			024	<b>01</b>	200		3	-1-		205		,	,	
79	Ala	Glu	Asn	Asn	Ala	Asn	Pro		Met	Leu	Ile	Val		Glu	Val	Met	
80	****	210					215	5				220					
81	Gly		Asn	Cys	Gly	Trp		Thr	Ala	Ala	Thr	Ala	Gln	Glu	Tyr	Arq	
82	225	,		_	_	230					235				-	240	
83	Lys	Leu	Leu	Asp	Arg	Ala	Glu	Trp	Leu	Pro	Glu	Leu	Gly	Leu	Thr	Arg	
84	-			_	245			-		250			_		255		
85	Glu	Ser	Tyr	Glu	Val	His	Ala	Val	Phe	Val	Pro	Glu	Met	Ala	Ile	Asp	
86				260					265					270			
87	Leu	Glu	Ala	Glu	Ala	Lys	Arg	Leu	Arg	Glu	Val	Met	Asp	Lys	Val	Asp	
88			275					280					285				
89	Cys	Val	Asn	Ile	Phe	Val	Ser	Glu	Gly	Ala	Gly	Val	Glu	Ala	Ile	Val	
90		290					295					300					
91	Ala	Glu	Met	Gln	Ala	Lys	Gly	Gln	Glu	Va1	Pro	Arg	Asp	Ala	Phe	Gly	
92	305					310					315					320	
93	His	Ile	Lys	Leu	Asp	Ala	Val	Asn	Pro	Gly	Lys	Trp	Phe	Gly	Glu	Gln	
94					325					330					335		

RAW SEQUENCE LISTING DATE: 02/19/2002 PATENT APPLICATION: US/09/941,947A TIME: 13:19:23

Input Set: N:\Crf3\02102002\I941947A.raw
Output Set: N:\CRF3\02192002\I941947A.raw

```
95
         Phe Ala Gln Met Ile Gly Ala Glu Lys Thr Leu Val Gln Lys Ser Gly
96
                                          345
97
         Tyr Phe Ala Arg Ala Ser Ala Ser Asn Val Asp Asp Met Arg Leu Ile
98
                                      360
         Lys Ser Cys Ala Asp Leu Ala Val Glu Cys Ala Phe Arg Arg Glu Ser
99
100
                                   375
          Gly Val Ile Gly His Asp Glu Asp Asn Gly Asn Val Leu Arg Ala Ile
101
102
                               390
                                                   395
          Glu Phe Pro Arg Ile Lys Gly Gly Lys Pro Phe Asn Ile Asp Thr Asp
103
104
                          405
                                               410
                                                                    415
105
          Trp Phe Asn Ser Met Leu Ser Glu Ile Gly Gln Pro Lys Gly Gly Lys
                                           425
106
                      420
                                                                430
107
          Val Glu Val Ser His
108
                  435
110 <210> SEQ ID NO: 3
111 <211> LENGTH: 636
112 <212> TYPE: DNA
113 <213> ORGANISM: Methylomonas 16a
114 <400> SEQUENCE: 3
                                                                                  60
          gaaaatacta tgtccgtcac catcaaagaa gtcatgacca cctcgcccgt tatgccggtc
115
          atggtcatca atcatctgga acatgccgtc cctctggctc gcgcgctagt cgacggtggc
                                                                                 120
116
117
          ttgaaagttt tggagatcac attgcgcacg ccggtggcac tggaatgtat ccgacgtatc
                                                                                  180
118
          aaaqccqaag taccqgacgc catcgtcggc gcgggcacca tcatcaaccc tcataccttg
                                                                                  240
          tatcaagcga ttgacgccgg tgcggaattc atcgtcagcc ccggcatcac cgaaaatcta
                                                                                  300
119
120
          ctcaacgaag cgctagcatc cggcgtgcct atcctgcccg gcgtcatcac acccagcgag
                                                                                  360
          gtcatgcgtt tattggaaaa aggcatcaat gcgatgaaat tctttccggc tgaagccgcc
                                                                                  420
121
                                                                                  480
          ggcggcatac cgatgctgaa atcccttggc ggccccttgc cgcaagtcac cttctgtccg
122
                                                                                  540
          accggcggcg tcaatcccaa aaacgcgccc gaatatctgg cattgaaaaa tgtcgcctgc
123
                                                                                 600
124
          gtoggoggot cotggatggo googgoogat otggtagatg ocgaagactg ggoggaaato
          acgcggcggg cgagcgaggc cgcggcattg aaaaaa
                                                                                  636
127 <210> SEQ ID NO: 4
128 <211> LENGTH: 212
129 <212> TYPE: PRT
130 <213> ORGANISM: Methylomonas 16a
131 <400> SEQUENCE: 4
          Glu Asn Thr Met Ser Val Thr Ile Lys Glu Val Met Thr Thr Ser Pro
132
133
                                               10
134
          Val Met Pro Val Met Val Ile Asn His Leu Glu His Ala Val Pro Leu
135
                      20
                                           25
136
          Ala Arg Ala Leu Val Asp Gly Gly Leu Lys Val Leu Glu Ile Thr Leu
137
                  35
                                       40
138
          Arg Thr Pro Val Ala Leu Glu Cys Ile Arg Arg Ile Lys Ala Glu Val
139
                                   55
                                                       60
140
          Pro Asp Ala Ile Val Gly Ala Gly Thr Ile Ile Asn Pro His Thr Leu
141
                               70
                                                   75
142
          Tyr Gln Ala Ile Asp Ala Gly Ala Glu Phe Ile Val Ser Pro Gly Ile
143
                          85
                                               90
144
          Thr Glu Asn Leu Leu Asn Glu Ala Leu Ala Ser Gly Val Pro Ile Leu
145
                                           105
                                                                110
                      100
```

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/941,947A

DATE: 02/19/2002
TIME: 13:19:23

Input Set : N:\Crf3\02102002\I941947A.raw
Output Set: N:\CRF3\02192002\I941947A.raw

146 147	Pro Gly Val Ile Thr Pro Ser Glu Val Met Arg Leu Leu Glu Lys Gly 115 120 125	
148 149	Ile Asn Ala Met Lys Phe Phe Pro Ala Glu Ala Ala Gly Gly Ile Pro 130 135 140	
150	Met Leu Lys Ser Leu Gly Gly Pro Leu Pro Gln Val Thr Phe Cys Pro	
151	145 150 155 160	
152	Thr Gly Gly Val Asn Pro Lys Asn Ala Pro Glu Tyr Leu Ala Leu Lys	
153	165 170 175	
154	Asn Val Ala Cys Val Gly Gly Ser Trp Met Ala Pro Ala Asp Leu Val	
155	180 185 190	
156	Asp Ala Glu Asp Trp Ala Glu Ile Thr Arg Arg Ala Ser Glu Ala Ala	
157	195 200 205	
158	Ala Leu Lys Lys	
159	210	
	SEQ ID NO: 5	
	LENGTH: 1860	
	TYPE: DNA ORGANISM: Methylomonas 16a	
	SEQUENCE: 5	
165 (400)	atqaaactqa ccaccgacta teeettgett aaaaacatee acacgeegge ggacatae	gc 60
167	gegetgteea aggaecaget ceageaactg getgaegagg tgegeggeta tetgaece	
168	acggtcagca tttccggcgg ccattttgcg gccggcctcg gcaccgtgga actgaccg	tg 180
169	gccttgcatt atgtgttcaa tacccccgtc gatcagttgg tctgggacgt gggccatc	ag 240
170	qcctatccqc acaagattct gaccggtcgc aaggagcgca tgccgaccat tcgcaccc	tg 300
171	ggcggggtgt cagcetttee ggegegggae gagagegaat acgatgeett eggegteg	gc 360
172	cattccagca cctcgatcag cgcggcactg ggcatggcca ttgcgtcgca gctgcgcg	gc 420
173	gaagacaaga agatggtagc catcategge gaeggtteea teaceggegg catggeet	at 480
174	gaggcgatga atcatgccgg cgatgtgaat gccaacctgc tggtgatctt gaacgaca	ac 540
175	gatatgtcga tetegeegee ggteggggeg atgaacaatt atetgaceaa ggtgttgt	cg 600
176	agcaagtttt attcgtcggt gcgggaagag agcaagaaag ctctggccaa gatgccgt	cg 660
177	gtgtgggaac tggcgcgcaa gaccgaggaa cacgtgaagg gcatgatcgt gcccggta	cc 720
178	ttgttcgagg aattgggctt caattatttc ggcccgatcg acggccatga tgtcgaga	tg 780 tg 840
179	ctggtgtcga ccctggaaaa tctgaaggat ttgaccgggc cggtattcct gcatgtgg	_
180	accaagaagg gcaaaggcta tgcgccagcc gagaaagacc cgttggccta ccatggcg	_
181	ccggetttcg atccgaccaa ggatttcctg cccaaggegg cgccgtcgcc gcatccga tataccgagg tgttcggccg ctggctgtgc gacatggcgg ctcaagacga gcgcttgc	
182	ggcatcacgc cggcgatgcg cgaaggctct ggtttggtgg aattctcaca gaaatttc	-
183 184	aatcgctatt tcgatgtcgc catcgccgag cagcatgcgg tgaccttggc cgccggcc	-
185	gcctgccagg gcgccaagcc ggtggtggcg atttattcca ccttcctgca acgcggtt	-
186	gatcagttga tocacgacgt ggccttgcag aacttagata tgctctttgc actggatc	
187	gccggcttgg tcggcccgga tggaccgacc catgctggcg cctttgatta cagctaca	tq 1320
188	cgctgtattc cgaacatgct gatcatggct ccagccgacg agaacgagtg caggcaga	tg 1380
189	ctgaccaccg gcttccaaca ccatggcccg gcttcggtgc gctatccgcg cggcaaag	gg 1440
190	cccggggcgg caatcgatcc gaccctgacc gcgctggaga tcggcaaggc cgaagtca	ga 1500
191	caccacggca gccgcatcgc cattctggcc tggggcagca tggtcacgcc tgccgtcg	aa 1560
192	gccggcaagc agctgggcgc gacggtggtg aacatgcgtt tcgtcaagcc gttcgatc	aa 1620
193	gccttggtgc tggaattggc caggacgcac gatgtgttcg tcaccgtcga ggaaaacg	tc 1680
194	ategeeggeg gegetggeag tgegateaac acetteetge aggegeagaa ggtgetga	tg 1740
195	ccggtctgca acatcggcct gcccgaccgc ttcgtcgagc aaggtagtcg cgaggaat	tg 1800



OIPE

RAW SEQUENCE LISTING

DATE: 02/10/2002 TIME: 13:19:55

PATENT APPLICATION: US/09/941,947A

Input Set : A:\CL1903 US NA revised seq list .txt

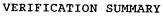
Output Set: N:\CRF3\02102002\1941947A.raw

```
3 <110> APPLICANT: Brzostowicz, Patricia C.
         Cheng, Qiong
                                                              Does Not Comply
        DiCosimo, Deana J.
 5
                                                          Corrected Diskette Needed
         Koffas, Mattheos
 6
 7
         Miller, Edward S. Jr.
 8
         Odom, J. Martin
9
         Picataggio, Steve
10
         Rouviere, Pierre E.
14 <120> TITLE OF INVENTION: CAROTENOID PRODUCTION FROM A SINGLE CARBON SOURCE
18 <130> FILE REFERENCE: CL1903 US NA
21 <140> CURRENT APPLICATION NUMBER: US/09/941,947A
21 <141> CURRENT FILING DATE: 2001-09-01
21 <150> PRIOR APPLICATION NUMBER: 60/229,907
22 <151> PRIOR FILING DATE: 2000-09-01
24 <150> PRIOR APPLICATION NUMBER: 60/229,858
25 <151> PRIOR FILING DATE: 2000-09-01
27 <160> NUMBER OF SEQ ID NOS: 60
29 <170> SOFTWARE: Microsoft Office 97
```

## ERRORED SEQUENCES

3085 <210> SEQ ID NO: 60 3086 <211> LENGTH: 19 3087 <212> TYPE: DNA 3088 <213> ORGANISM: Artificial Sequence 3090 <220> FEATURE: 3091 <223> OTHER INFORMATION: primer 3093 <400> SEQUENCE: 60 3094 tagetegagt caegettge E--> 3097/52

19



PATENT APPLICATION: US/09/941,947A

DATE: 02/10/2002 TIME: 13:19:56

Input Set : A:\CL1903 US NA revised seq list .txt

Output Set: N:\CRF3\02102002\1941947A.raw

L:21 M:270 C: Current Application Number differs, Replaced Current Application No

L:21 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:3097 M:254 E: No. of Bases conflict, LENGTH:Input:52 Counted:19 SEQ:60



Creation date: 28-08-2003

Indexing Officer: FPLUMMER - FRANCIS PLUMMER

Team: OIPEBackFileIndexing

Dossier: 09941947

Legal Date: 27-09-2002

No.	Doccode	Number of pages	$\Box$
1	IDS	3	
2	NPL	3	

Total number of pages: 6		* *	
Remarks:			

Order of re-scan issued on .....